

FY2018 INTERNAL AUDIT PLAN

TEXAS SOUTHERN UNIVERSITY
Internal Audit Plan for Fiscal Year 2018

AUDIT UNITS	AUDIT TYPE	REQUIRED / ROLLOVER / RISK ASSESSMENT	TIME COMMITMENT (Estimated)
SCHEDULED AUDITS			
Facilities /Space Utilization	Compliance/ Operational	Required	600
Financial Conflicts of Interest Disclosure	Compliance/ Operational	FY2018 Risk Assessment	400
Development	Operational	Compliance	600
Joint Admissions Medical Program*	Compliance	Required	300
Public Funds Investment Act*	Compliance	Regulatory (required)	500
Texas Legislative Internship Program*	Compliance	Required	100

*Projects denoted with an asterisk are required by specific oversight agencies.

AUDIT UNITS	AUDIT TYPE	REQUIRED / ROLLOVER / RISK ASSESSMENT	TIME COMMITMENT
OFFICE OF INFORMATION TECHNOLOGY REVIEWS (OIT)			
IT Projects Change Management	Compliance	FY2018 Risk Assessment	150
Vulnerability Assessment/Penetration Testing	Infrastructure and Application	FY2018 Risk Assessment	300
Regulatory Compliance Assessments (Selective)	Compliance	Regulatory (required)	300
COLLEGE/DEPARTMENT REVIEWS			
Thurgood Marshall School of Law	Compliance/Operational	BOR Requested	400
The Graduate School	Compliance/Operational	BOR Requested	350
SPECIAL PROJECTS			
Enterprise Risk Management (ERM)	Special Initiative	BOR	500
Annual Audit Report (Includes FY2017/ FY2018 Audit Plan)	Administration	Required	250
Fraud Investigations/Training	Investigations/ Fraud Prevention Program	Required	750
Title IX	Process Review	Requested	400

MANAGEMENT SUPPORT & CONTINUOUS MONITORING			
AREA	PROJECT TYPE	REQUESTOR	TIME COMMITMENT
<u>External Audit Assistance</u>			
State Auditor's Office	Support	N/A	350
Other External Auditors (i.e., Whitley Penn)	Support	N/A	500
Selective Research Centers or Direct Grants and Contracts	Monitoring	FY2019 Risk Assessment	300
Fiscal Responsibility (Selective)	Compliance Monitoring	Management Requested	300
Regulatory Areas: Campus Programs for Minors	Compliance Monitoring	Management Requested	150
AUDIT FOLLOW-			
External Audits/Reviews Follow-up*	Support	Required	300
Internal Audit/Reviews Follow-up	Support	FY2018 Plan	300
TOTAL FY2018 AUDIT TIME COMMITMENT - 8,100 HOURS			
TOTAL AVAILABLE AUDIT HOURS - 7,500 HOURS			

***Includes the follow-up on items from FY2018 external audits/reviews and the SAO Single Statewide Audit.**

Specialized Items Anticipated for FY2018:

Formula Funding (Follow-Up)

TEXAS Grant Desk Review (New)

Facilities Projects and Space Utilization Revisit (Internal)