

# Texas Southern University

## Summary of Investments

Investment Type	August 31, 2017 Book Value	August 31, 2017 Market Value
<b>Equity Securities</b>		
U.S. Common Stock	33,299,779.77	33,355,086.29
Preferred Stock	-	-
Foreign Stock	5,639,933.86	5,644,474.71
Equity Mutual Funds	-	-
Total Investments at August 31, 2012	-	-
Total Equity Securities	38,939,713.63	38,999,561.00
<b>Other Investments</b>		
Real Estate	-	-
Private Equity	-	-
Annuities	-	-
Collective Endowment Funds	-	-
Commodities	-	-
Collectibles	-	-
Other (list)	-	-
Total Other Investments	-	-
<b>Debt Investments &gt; 1 Year</b>		
U.S. Government	3,681,255.85	3,697,520.57
U.S. Government Agency	1,934,327.47	1,940,357.79
Mortgage Pass-Through	-	-
<b>CMOs:</b>		
Interest Only Strips	-	-
Principal Only Strips	-	-
Inverse Floaters	-	-
Stated Maturities longer than 10 years	-	-
PFIA Compliant CMOs	-	-
Other Asset-Backed Bonds	-	-
Foreign Issued Obligations	-	-
Municipal Obligations	-	-
<b>Corporate Obligations:</b>		
Highly Rated (AAA/AA)	1,611,834.67	1,618,946.04
Investment Grade (A/BBB)	4,306,051.70	4,346,207.05
NR/High Yield (<BBB)	282,996.80	287,509.09
GICs		
Bond Mutual Funds		
Other (list)		
Total Debt Investments > 1 year	11,816,466.49	11,890,540.54
<b>Short Term Investments</b>		
U.S. Government	-	-
U.S. Government Agency	1,721,699.12	1,724,516.67
CDs/Bas	-	-
A1/P1 Commercial Paper	-	-
Repurchase Agreements	-	-
TexPool	-	-
Other Money Market Funds and Pools	79,161,393.39	79,190,082.89
Bank Deposits	-	-
Cash Held at State Treasury	-	-
Other (list)	-	-
Total Short Term Investments	80,883,092.51	80,914,599.56
<b>TOTAL INVESTMENTS</b>	<b>131,639,272.63</b>	<b>131,804,701.10</b>

**Texas Southern University**  
**Statement of Changes in Investment Assets**  
**August 31, 2017**

	<u>Book Value</u>	<u>Market Value</u>
<b>Beginning Investment Assets - 6/1/2017</b>	132,509,762.82	132,651,593.92
Receipts/Contributions	1,865,812.20	1,865,812.20
Investment Income	314,466.14	314,466.14
Administrative Expenses	(126,064.23)	(126,064.23)
Distributions	(3,471,466.14)	(3,471,466.14)
Net Realized Gains (Losses)	(761,590.52)	(737,993.15)
Changes in Net Unrealized Appreciation (Depreciation)	1,308,352.36	1,308,352.36
<b>Ending Investment Assets - 8/31/2017</b>	<u><u>131,639,272.63</u></u>	<u><u>131,804,701.10</u></u>

**Texas Southern University**  
Annual Investment Report (Including Deposits)

Investment or Deposit Type

August 31, 2017 Market Value

<b>Publicly Traded Equity and Similar Investments</b>	
internally managed by institution investment staff; exclude mutual or commingled funds)	33,355,086.29
Equity/Stock Mutual Funds	
Balanced Mutual Funds (where target allocation is > 50% equities)	
"Commonfund" Equity Commingled Funds	
Other Equity Commingled Funds (if primarily invested in publicly traded equities)	
Preferred Stock	
Other - Foreign Stock	5,644,474.71
<b>Total Publicly Traded Equity and Similar Investments</b>	<b>38,999,561.00</b>

<b>"Other" Investments - Other than Publicly Traded Equity and Debt Investments</b>	
Real Estate (include direct ownership & investments in real estate limited partnerships, private REITs, or similar vehicles; include a portfolio of publicly traded REITs if managed as a separate asset allocation category rather than comprising part of a broadly diversified stock portfolio )	
Other Real Asset Investments (e.g. investments in infrastructure funds)	
Private Equity	
Hedge Funds	
"Commonfund" Alternative Asset Commingled Funds (Real Estate, Private Equity, Hedge Funds, Commodities, etc.)	
Annuities	
Commodities	
Collectibles	
Other - list by type	
<b>Total "Other" Investments - Other than Publicly Traded Equity &amp; Debt Investments</b>	<b>0.00</b>

<b>Publicly Traded Debt &amp; Similar Investments &gt;1 year maturity</b>	
U.S. Government Securities ("Treasuries")	3,697,520.57
U.S. Government Agency Securities ("Agencies")	1,940,357.79
Mortgage Pass-Throughs - "Agency"	
Mortgage Pass-Throughs - "Private Label"	
Asset-Backed Securities (ABS) (other than mortgage-backed securities)	
Sovereign Debt (non-U.S.)	
Municipal Obligations	
<b>Collateralized Mortgage Obligations (CMOs) - list below by category</b>	
Interest Only Strips (IOs)	
Principal Only Strips (POs)	
Inverse Floaters	
Stated Final Maturity longer than 10 years	
Other CMOs - "Agency"	
Other CMOs - "Private Label"	
<b>Corporate Obligations (U.S. or foreign companies) - list below by rating</b>	
Highly Rated (AAA/AA or equivalent)	1,618,946.04
Other Investment Grade (A/BBB or equivalent)	4,346,207.05
High Yield Bonds (<BBB or equivalent)	287,509.09
Not Rated (NR)	
Fixed Income/Bond Mutual Funds (longer term; registered with the SEC securities)	
"Commonfund" Fixed Income/Bond Commingled Funds	
Other Fixed Income/Bond Commingled Funds (primarily invested in publicly traded debt securities; not registered with the SEC)	
GICs (Guaranteed Investment Contracts)	
Other - list by type	
<b>Total Publicly Traded Debt &amp; Similar Investments &gt;1 year</b>	<b>11,890,540.54</b>

<b>Short-Term Investments &amp; Deposits</b>	
U.S. Government Securities ("Treasuries")	
U.S. Government Agency Securities ("Agencies")	1,724,516.67
Bankers' Acceptances	
Commercial Paper - A1/P1 (or equivalent)	
Other Commercial Paper - lower rated	
Repurchase Agreements (Repos)	
Money Market Mutual Funds (registered with the SEC the SEC)	
<b>Public Funds Investment Pool Created to Function as a Money Market Mutual Fund (not registered w/ SEC but "2a7-like")</b>	
TexPool (and TexPool Prime)	
Other Public Funds Investment Pools Functioning as Money Market Mutual Funds	79,190,082.89
Other Investment Pools - Short-Term (not created to function as a money market mutual fund)	
Certificates of Deposit (CD) - Nonnegotiable	
Certificates of Deposit (CD) - Negotiable	
Bank Deposits	
Cash Held at State Treasury collateral)	
Other - list by type	
<b>Total Short-Term Investments &amp; Deposits</b>	<b>80,914,599.56</b>
<b>TOTAL INVESTMENTS and DEPOSITS</b>	<b>131,804,701.10</b>