

TEXAS SOUTHERN UNIVERSITY
Internal Audit Plan for Fiscal Year 2016

AUDIT UNITS	AUDIT TYPE	REQUIRED / ROLLOVER / RISK ASSESSMENT	TIME COMMITMENT (Estimated)
SCHEDULED AUDITS			
Business Continuity Plan	Compliance/ Operational	FY2016 Risk Assessment	600
Student Services	Compliance/ Operational	FY2016 Risk Assessment	600
Athletics	Operational	Compliance	300
Environmental Health & Safety	Operational	FY2016 Risk Assessment	300
Facilities (Construction/Maintenance)	Financial/Compliance	Rollover (of 300 plus addtl 200)	500
Senate Bill 20	Compliance	Required	250
Public Funds Investment Act	Compliance	Required	200
Texas Legislative Internship Program	Compliance	Required	100

AUDIT UNITS	AUDIT TYPE	REQUIRED / ROLLOVER / RISK ASSESSMENT	TIME COMMITMENT
OFFICE OF INFORMATION TECHNOLOGY REVIEWS (OIT)			
IT Governance/ GCC Review	Compliance	FY2016 Risk Assessment	250
Banner Application Project /Change Management	Application Controls	FY2016 Risk Assessment	350
Regulatory Compliance Assessments (Selective) FERPA	Compliance	FY2016 Risk Assessment	250
COLLEGE/DEPARTMENT REVIEWS			
College of Education	Compliance/ Operational	BOR Requested	400
School of Communication	Compliance/ Operational	BOR Requested	400
SPECIAL PROJECTS			
Enterprise Risk Management (ERM)	Special Initiative	BOR	1,000
Annual Audit Report (Includes FY2016/ FY2016 Audit Plan)	Administration	Required	200
Fraud Investigations/Training	Investigations / Fraud Prevention Program	Required	400
<u>External Audit Assistance</u>			
State Auditor's Office	Support	N/A	300
Other External Auditors (i.e., Whitley Penn)	Support	N/A	300

MANAGEMENT SUPPORT & CONTINUOUS MONITORING			
AREA	PROJECT TYPE	REQUESTOR	TIME COMMITMENT
Campus Programs for Minors	Monitoring	FY2016 Risk Assessment	300
Greystone/UAV	Compliance Monitoring	Management Requested	250
Division/College Business Administrator Sustainability	Value Added Services/ Monitoring	Management Requested	100
AUDIT FOLLOW-UP			
A-133 Follow-up*	Support	Required	300
Selective Research Centers	Support	FY2016 Planning	200
Procurement Card Audit	Support	FY2016 Planning	250
TOTAL FY2016 AUDIT TIME COMMITMENT - 8,100 HOURS			
TOTAL AVAILABLE AUDIT HOURS - 7,000 HOURS			

Note to File: Total available hours will increase with filling of open position or staff augmentation (support for approx. 1,500 hours).

***Follow-up on items from FY2015 and/or SAO A-133 and Prior Year**

Findings. Specialized Items Anticipated for FY2016: